

11-23-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hideo SHINOZAWA; Yoshio YAMAMOTO; Toshiyuki NONOMURA; and Kazuo FUKUOKA

Serial No: Not assigned

Filed: November 15, 2001

For: SEMICONDUCTOR LASER, METHOD FOR FABRICATING THEREOF,
AND METHOD FOR MOUNTING THEREOF

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

☒ 10 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 24 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☒ An assignment of the invention to KABUSHIKI KAISHA TOSHIBA ☒ is enclosed ☐ will follow.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☐ Applicant claims small entity status. See 37 CFR 1.27.☒ Declaration and Power of Attorney ☒ is enclosed ☐ will follow.☒ A certified copy of Japanese Patent Application No. 2000-364491 filed November 30, 2000 from which priority is claimed under 35 U.S.C. § 119 is enclosed.☒ IDS enclosed (☒ with 3 reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FE E	10	-20	0	LG=\$18 SM=\$9	\$18	0		
B	INDEPENDENT CLAIMS FEE*	5	-3	2	LG=\$84 SM=\$42	\$84	168		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							168	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0	
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 740	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 908	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$ 40
	*LIST INDEPENDENT CLAIMS 1, 2, 3, 9, and 10.								

☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.☒ A check in the amount of \$ 908.00 to cover the filing fee is enclosed.☒ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.☒ Any additional filing fees required under 37 C.F.R. 1.16☒ Any patent application processing fees under 37 C.F.R. 1.17

Date: November 15, 2001

500 South Grand Avenue, Suite 1900

Los Angeles, CA 90071

Telephone: (213) 337-6700

Facsimile: (213) 337-6701

Respectfully submitted,

HOGAN & HARTSON L.L.P.

By:

Lawrence J. McClure

Registration No. 44,228

Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Hideo SHINOZAWA et al.

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For: SEMICONDUCTOR LASER, METHOD FOR
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Art Unit: Not assigned

Examiner: Not assigned

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Date of Deposit: November 15, 2001

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Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 908.00 as filing fee
- ☒ patent application (24 page(s) of specification; 10 claim(s); 1 page(s) of abstract
- ☒ 10 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ assignment of the invention to KABUSHIKI KAISHA TOSHIBA
- ☒ certified copy of Japanese patent application No. 2000-364491 which was filed November 30, 2000 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Information Disclosure Statement with 3 references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: November 15, 2001

Hogan & Hartson, LLP
500 South Grand Avenue, Suite 1900
Los Angeles, California 90071
Telephone: 213-337-6700
Facsimile: 213-337-6701

Emily Cruz

Name of person mailing papers

Signature